

| Module Details |  |
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| Module Title   | Regulation of Capital Markets and Securities (Distance Learning) |
| Module Code    | LAW7075-B  |
| Academic Year  | 2023/4   |
| Credits        | 20   |
| School         | School of Law  |
| FHEQ Level     | FHEQ Level 7   |

| Contact Hours                 |       |
|-------------------------------|-------|
| Type                          | Hours |
| Online Lecture (Synchronous)  | 10    |
| Online Lecture (Asynchronous) | 14    |
| Directed Study                | 176   |

| Availability |                                     |
|--------------|-------------------------------------|
| Occurrence   | Location / Period                   |
| DLA          | University of Bradford / Semester 2 |

| Module Aims  |
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| <p>The aim of the module is to introduce you to the complex area of capital markets and securities law and regulation and to advance your knowledge and understanding of applicable legal frameworks. You will learn about the main regulatory theories underpinning the current frameworks, the main applicable rules and principles and about the institutional arrangements made to supervise these highly significant markets.</p> |

| Outline Syllabus  |
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| <p>An indicative list of topics is included below. The list may be subject to change in order to better reflect new developments in Law Introduction of the law and regulation of capital markets and securities Theoretical underpinnings. Regulation of credit rating agencies Regulation of securities and derivatives Regulation of market abuse Regulation of investment firms Regulation of public offerings Regulation of bond markets. Regulation of financial innovation</p> |

| Learning Outcomes |  |
|-------------------|--|
| Outcome Number    | Description  |
| 01                | Explore, at a practical and theoretical level, the theories and principles underpinning capital markets and securities law and regulation. |
| 02                | Develop an understanding of the key applicable rules of the current regulatory frameworks for capital markets and securities.              |
| 03                | Apply the existing legal principles and frameworks to provide legal solutions to practical problems.                                       |
| 04                | Carry out independent legal research in the field and communicate effectively orally and in writing using appropriate legal terminology.   |

| Learning, Teaching and Assessment Strategy   |
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| <p>All sessions are delivered online using digital legal resources and appropriate learning technologies. The Virtual Learning Environment (VLE) for each module is the hub of knowledge through which all module materials are accessed and where a big part of student work takes place.</p> <p>The module will be delivered over 12 weeks 5 of which will include synchronous learning and 7 asynchronous. Synchronous learning, which involves student interaction with module lecturers and tutors, includes four 2-hour "live" seminars focusing on delivering content, clarifying issues on the law topics, and discussing answers to student tasks. One additional 2-hour synchronous seminar will focus on the design of and preparation for the summative assessment, module revision, and the collection and review of student feedback which will be used to improve module delivery.</p> <p>The seven weeks of asynchronous learning include a mix of learning activities such as pre-recorded online presentations or talks on a particular topic, links to relevant videos and online resources and lists of questions and tasks for self-study. You can watch the presentations, videos and work on the answers and tasks at your own time. The learning materials and activities (synchronous and asynchronous) cover the underlying theory and consider issues of critical evaluation of law through a combination practical questions and case studies. Successfully completing tasks set requires independent legal research drawing on variety of both primary and secondary materials and written and oral presentations by you.</p> <p>Oral feedback is given in the class during the live sessions and opportunities for written feedback are provided. A formative assessment will be made available to help you better prepare for the summative assessment. An online module manual provides an outline of the module structure, content, learning and teaching strategy and assessment format.</p> <p>The manual is complemented by more detailed study guides which provide guidance on the topic of the weekly study and contain the learning materials (pre-recorded lectures, slides, tutorial tasks, self-study tasks) the reading lists, and other useful information for each week.</p> <p>The assessment will test your knowledge and understanding of theoretical perspectives of the law and your ability to use theory to provide solutions to real world problems. It will include the submission of a written research report of 4,000 words on a law topic or combination of topics covered by the module. The purpose of the assessment will be to offer, based on your own independent research, a critical account and evaluation of a contemporary problem, concept or court case or theory about capital markets and securities law. There will be elements of formative assessment during the module which will support your development towards the final</p> |

| Mode of Assessment |                         |   |           |
|--------------------|-------------------------|---|-----------|
| Type               | Method                  | Description   | Weighting |
| Summative          | Coursework -<br>Written | Written research report on a law topic or combination of topics covered by the module. (4000 words) | 100%      |

| Reading List   |
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| To access the reading list for this module, please visit <a href="https://bradford.rl.talis.com/index.html">https://bradford.rl.talis.com/index.html</a> |

*Please note:*

*This module descriptor has been published in advance of the academic year to which it applies. Every effort has been made to ensure that the information is accurate at the time of publication, but minor changes may occur given the interval between publishing and commencement of teaching. Upon commencement of the module, students will receive a handbook with further detail about the module and any changes will be discussed and/or communicated at this point.*

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